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PTO/SB/05 (1/98) I for use through 09/30/2000. OMB 0651-0032

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UTILITY **PATENT APPLICATION** TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 540541-2013.1

Anthony J. Cutie, Akwete L Adjei, Frederick A. First Inventor or Application Identifier Sexton

A CORE FORMULATION

EL250497835US Express Mail Label No.

APPLICATION ELEMENTS **Assistant Commissioner for Patents Box Patent Application** See MPEP chapter 600 concerning utility patent application contents. ADDRESS TO: Washington, DC 20231 \* Fee Transmittal Form (e.g., PTO/SB/17) Microfiche Computer Program (Appendix) (Submit an original, and a duplicate for fee processing) 2.  $\boxtimes$ Specification [ Total Pages Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) (preferred arrangement set forth below, MPEP 1503.01) Computer Readable Copy - Descriptive title of the Invention b. Paper Copy (identical to computer copy) - Cross References to Related Applications Statement verifying identity of above copies - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix **ACCOMPANYING APPLICATION PARTS** - Background of the Invention - Brief Summary of the Invention 8.  $\boxtimes$ Assignment Papers (cover sheet & documents(s)) - Brief Description of the Drawings (if filed) - Detailed Description, П 37 C.F.R. § 3.73(b) Statement Power of Attorney - Claim(s) (17) (when there is an assignee) - Abstract of the Disclosure (1 page) English Translation Document (if applicable) 10. Drawing(s)(37 CFR. § 1.152) [ Total Sheets 11. Information Disclosure Copies of IDS 4. DOath or Declaration [ Total Pages Statement (IDS)/PTO-1449 Citations Newly executed (original or copy) () ∏ia. 12. Preliminary Amendment Copy from a prior application (37 C.F.R. § 1.63(d)) ₫īb. (for continuation/divisional with Box 17 completed) 13.  $\boxtimes$ Return Receipt Postcard (MPEP 503) Ш [Note Box 5 below] (Should be specifically itemized) **DELETION OF INVENTOR(S)** \* Small Entity Statement filed in prior application,  $\Box$ Signed statement attached deleting Statements(s) Status still proper and desired (PTO/SB/09-12) inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b). Certified Copy of Priority Document(s) 15. Incorporation By Reference (useable if Box 4b is checked) (if foreign priority is claimed) The entire disclosure of the prior application, from which a 16. Other: Copy of the oath or declaration is supplied under Box 4b, is Considered to be part of the disclosure of the accompanying \* A new statement is required to be entitled to pay small entity fees, except Application and is hereby incorporated by reference therein. where one has been filed in a prior application and is being relied upon. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment: 17. ☐ Continuation ☐ Divisional П Continuation-in-part (CIP) of prior application No.: Prior application information: Examiner Group/Art Unit: 16. CORRESPONDENCE ADDRESS Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or New correspondence address below Name JEROME ROSENSTOCK FROMMER LAWRENCE & HAUG LLP Address 745 Fifth Avenue City New York State NY Zip Code 10151 Country U.S. Telephone (212) 588-0800 (212) 588-0500 Fax JEROME ROSENSTOCK Name (Print/Type) Registration No. (Attorney/Agent) 25,456 Signature Date October 31, 2000

Burden Hour Statement: This formus estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

## Comp if Known FEE TRANSMIT Application Number assigned Patent fees are subject to annual revision on October 1. These are the fees effective October 1, 1997. Filing Date Herewith First Named Inventor Anthony J. Cutie Small Entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. **Examiner Name** Not yet assigned Group/Art Unit Not yet assigned TOTAL AMOUNT OF PAYMENT (\$)950.00 Attorney Docket No. 540541-2013.1

METHOD OF PAYMENT									FEE CALCULATION							
1.   The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:								3. AD	3. ADDITIONAL FEES							
Deposit Account Name	ſ	Frommer Lawrence & Haug LLP						Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid			
Deposit	_			200		1	105	130	205		Surcharge - late filing fee or oath					
Account Number		50-0320							127	50	227	25	Surcharge – late provisional filing fee or Cover sheet			
Charge any Additional Fee Charge the Issue Fee Set in 37 CFR Required Under 37 CFR 1.16 and 1.18 at the Mailing of the Notice of								oen 1	139	130	139	130	Non-English specification			
									147	2,520	147	2,520	For filing a request of reexamination			
1.17 Allowance							. 0.	112	920*	112	920*	Requesting publication of SIR prior to Examiner action				
2. Payment Enclosed									113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action			
☐ Check ☐ Money Order ☐ Other									115	110	215	55	Extension for reply within first month			
FEE CALCULATION									116	380	216	190	Extension for reply within second month			
								-	117	870	217	435	Extension for reply within third month			
1. BASIC FILING FEE									118	1,360	218	680	Extension for reply within fourth Month			
, Farge	Entity		Entity	_					128	1,850	228	925	Extension for reply within fifth month			
Tee Code	Fee (\$)	Fee Code	Fee (\$)	,	ee Descrip	ption	Fee Paid		119	300	219	150	Notice of Appeal			
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t06	320	206	160		sign filing f			]	121	260	221	130	Request for oral hearing			
1407 1108	490 710	207 208	245 355	•				$\dashv$	138	1,510	138	1,510	Petition to institute a public use Proceeding			
114 114	150	214		visional filing fee		ee		140	110	240	55	Petition to revive - unavoidable				
#1 1.1			SUE	SUBTOTAL (1)		710.00		141	1,210	241	605	Petition to revive- unintentional				
<u>                                     </u>									142	1,210	242	605	Utility issue fee (or reissue)			
2. EXTRA CLAIM FEES									143	430	243	215	Design issue fee			
m			Extra Claim	<u> </u>	Fee from		Fee Paid		144	580	244	290	Plant issue fee			
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i_Ind. Claims	6	-3**=	3	x	80		= 240.00		123	50	123	50	Petitions related to provisional Applications			
Multiple	Depend	lent		x			=		126	240	126	240	Submission of Information Disclosure Statement			
** or number previously paid, if greater; for reissues, see below							s, see below		581	40	581	40	Recording each patent assignment per property (times number of properties)			
Large Fee	Entity Fee	y Small Fee	Entity Fee		E	D			146	760	246	380	Filing a submission after final rejection (37 CFR 1.129(a))			
Code	(\$)	Code	(\$)		Fee Descri		ription		149	760	249	380	For each additional invention to be examined (37 CFR 1.129(b))			
103	18	203	9		aims in exc				1							
102 104	80 270	202 204	40 135		Independent claims in excess of 3 Multiple dependent claim, if not			l								
	paid						Other fee (specify)									
109	109 80 209 40 ** Reissue independent claims over original patent						Other fee	(specify)								
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SUBTOTAL (2) (\$)240.00						SUBTOTAL (3) (\$)										
(7)									• Reduced by Basic Filing Fee							

SUBMITTED BY	Complete (if applicable)					
Typed or Printed Name	JEROME ROSENSTOCK	(1) II		<u> </u>	Reg. Number	25,456
Signature	Lelowe	flesath	Date	10/31/00	Deposit Account	50-0320



## **CERTIFICATE OF MAILING – SEPARATE PAPER**

Attorney Docket No. :

540541-2013.1

Serial No.

Not Yet Known

Filed

Herewith

Applicant(s)

Anthony J. Cutie, Akwete L. Adjei, Frederick A. Sexton

Date of Deposit 10/31/00 via "Express Mail" # EL250497835US

I hereby certify that a Patent Application and related documents for the above-referenced application are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to:

Box Patent Application Assistant Commissioner for Patents Washington, D.C. 20231

(Typed or printed name of person/mailing paper or fee)

(Signature of person mailing paper or fee)